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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY

Atty. I

Atty. Docket No. CROSS1120-13

Applicants: **Geoffrey B. Hoese, et al.**Application Number Filed

RECEIVED

APPLICANT

For:

10/658,163

OCT, 2 2 2003

Storage Router and Method for Providing Virtual
Local Storage
Group Art Unit Confirmation Number

Technology Center 2100

Group Art Unit
Unknown
Application No.
10/658,163

Unknown
Filing Date of Parent
September 9, 2003

Septemb r 9, 2003

Group Art Unit of Parent

Examiner of Parent Christopher Shin

Mail Stop: Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313 Certification Under 37 C.F.R. §1.10

I hereby certify that this document is being deposited with the United States Postal Service as Express Mail to Addressee in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on October $\underline{i7}$, 2003 .

Laura McGuire

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the art listed on the attached PTO/SB/08A form be considered and cited in the examination of the above-identified continuation application. Pursuant to 37 C.F.R. §§ 1.97(g) and (h), no representation is made that a search has been made or that this art is material to patentability of the present application. Applicants respectfully submit that the claims of Applicants' above-referenced patent application are patentably distinguishable from these references.

In the prosecution of United States Patent Application Serial Number 10/081,110 (the "'110 Application"), the parent of the present application, Applicants submitted trial exhibits from Crossroads Systems, Inc. v. Pathlight Technology, Inc., 1:00cv00248 (W.D. Tex.) (the "Pathlight Litigation") and Crossroads Systems, Inc. v. Chaparral Network Storage, Inc., 1:00cv00217 (W.D. Tex.) (the "Chaparral litigation"). Several of these trial exhibits included United States and foreign patents. The Examiner requested that the Applicants separately list patent references from the trial exhibits. Accordingly, the attached PTO/SB/08A form includes, among other references, references that were previously submitted as part of the trial exhibits. To the extent that any of references A1-A16 were separately listed in the prosecution of the '110 Application, Applicants are submitting them again to comply with the Examiner's request to call out patents found the trial exhibits. The following summarizes the listed references for the convenience of the Examiner.

ATTORNEY DOCKET NO CROSS1120-13

Patent is valid.

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References A1-A7 were each included in the exhibits from both the Chaparral litigation and the Pathlight litigation. References A9-11 and B1-B3 were included in the exhibits from the Chaparral litigation. References A8 and A12-A16 were included in the exhibits from the Pathlight litigation. Copies of references A1-A16 are included for the Examiner's convenience. Applicants note that in the Pathlight Litigation and the Chaparral litigation, Crossroads Systems Inc., asserted United States Patent Number 5,941,972 (the "972 Patent") against the respective defendants. The Pathlight Litigation settled with a consent decree that the '972

References A19-A30 and A33-A46 were cited in an Office Action mailed January 21, 2003 in related United States Patent Application Serial Number 10/174,720. Copies of references A19-A30 and A33-A46 are enclosed.

Reference A47 was cited in the Notice of Allowance for United States Patent Application Serial Number 10/174,720. A copy of reference A47 is enclosed for the Examiner's convenience.

Additional references include A17-A18, A31-A32, B4, C1-C3. Copies of these references are included for the convenience of the Examiner.

While Applicants believe no fees are due, if any fees are due, the Commissioner is hereby authorized to charge Deposit Account No. 50-0456 of Gray Cary Ware & Freidenrich LLP.

Respectfully submitted,

Gray Cary Ware & Freidenrich LLP

Attorneys for Applicants

Dated: October //e, 2003

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	INFORMATION DISCLOSURE STATEMENT	Application Number	10/658,163		
١	BY APPĹIĈANT	Filing Date	September 9, 2003		
	OCT 2 0 2003	First Named Inventor	Geoffrey Hoese RECEIVED		
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			U.S.	PATENT	DOCUMENT	·s			
Examiner Initials	Cite No.	Document Number		ber	Kind Code (if known)		ication ate I-DD- YYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	A1	5,748,924				05/0	5/98	Llorens, et al.	3
	A2	5,768,623				06/1	6/98	Judd, et al.	
	A3	5,809,328			. . -	09/1	5/98	Nogales, et al.	
	A4	5,812,754				09/2	2/98	Lui, et al.	
 	A5	5,835,496				11/1	0/98	Yeung, et al.	
	A6	5,848,251				12/0	8/98	Lomelino, et al.	
	A7	5,941,972				08/2	4/99	Hoese, et al.	
	A8	6,041,381				03/2	1/00	Hoese	
	A9	6,145,006	11. Land 11. Carlotte 11. Carlo			11/0	7/00	Vishlitsky et al.	
	A10	6,219,771	AL - PASSE .		B1	04/1	7/01	Kikuchi et al.	
	A11	6,260,120	12.00		B1	07/1	0/01	Blumenau et al.	
	A12	3,082,406				03/1	9/63	L.D. Stevens	
	A13	4,092,732				05/3	0/78	Ouchi	
	A14	4,947,367				08/0	7/90	Chang et al.	
	A15	5,072,378	4.2.14			12/1	0/91	Manka	
	A16	5,465,382				11/0	7/95	Day, III et al.	
	A17	5,947,530				10/2	6/99	Young	
	A18	6,529,996			B1	03/0	4/03	Nguyen et al.	
Examiner Initials	Cite	FOREIGN PATENT DOCUMENTS			Publication Date	Name of Patentee or	Pages, Columns, Lines		
	No.	Country Code	Number	Kind Coo	de (if known)	MM-DD- YYYY (Number 43)		Applicant of Cited Document	Where Relevan Passages or Figures Appear
	B1	EP 0827059			A2	03/0)4/98	NEC Corporation	
	B2	JP 8-230895	5	-		09/1	0/96	Kikuchi et al.	
	В3	WO 99/34297			A1_	07/0	8/99	Crossroads Systems, Inc.	
	B4	EP 0810 530			A2	12/0	3/97	Sun Microsystems, Inc.	
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INFORMATION DISCOSURE STATEMENT BYAPPLICANT **Application Number** Unknown Herewith Filing Date OCT 2 0 2003 Geoffrey Hoese First Named Inventor Group Art Unit Unknown Bra Than **Examiner Name** Unknown cross1120-16chnology Center 2100 2 Sheet of 3 Attorney Ref. Number

U.S. PATENT DOCUMENTS

Examiner Initials	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines Where Relevant	
	No.	Number	Kind Code (if known)	MM-DD- YYYY	Cited Document	Passages or Figures Appear
	A19	5,864,653	₩.60	01/26/99	Tavallaei et al.	
	A20	6,070,253		05/30/00	Tavallaei et al.	
	A21	5,884,027		03/16/99	Garbus et al.	
	A22	6,131,119		10/10/00	Fukui	
	A23	5,729,705		03/17/98	Weber	
	A24	5,751,975		05/12/98	Gillespie et al.	
	A25	5,680,556		10/21/97	Begun et al.	
	A26	5,581,714		12/03/96	Amini et al.	
	A27	5,774,683		06/30/98	Gulick	
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	A29	5,845,107		12/01/98	Fisch et al.	
	A30	4,695,948		09/22/87	Blevins et al.	
	A31	5,598,541		01/28/97	Malladi	
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•	A33	5,414,820		10/09/95	McFarland et al.	
	A34	5,857,080		01/05/99	Jander et al.	
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· · ·	A36	6,223,266	B1	04/24/01	Sartore	
	A37	5,991,797		11/23/99	Futral et al.	
	A38	5,257,386		10/26/93	Saito	
	A39	5,701,491		12/23/97	Dunn et al.	
Examiner Sign	ature			Date Considered		

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								Geoffrey Hoese		
					Group	Group Art Unit		Unknown Technology Center 2		
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Examiner Initials	Cite No.		er		Publication Date MM-DD-		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant		
			Number		Kind Code (if known)		YYY	Shot Doublett	Passages or Figures Appear	
	A40	5,347,384				09/1	3/94	McReynolds et al.		
	A41	5,423,044				06/0	6/95	Sutton et al.		
	A42	5,239,643				08/2	24/93	Blount et al.		
	A43	5,712,976				01/2	27/98	Falcon, Jr. et al.		
	A44	5,596,736				01/2	21/97	Kerns		
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